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REQUEST **FOR** 

## ONTINUED EXAMINATION (RCE) **TRANSMITTAL**

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8,1995.

See the American Inventors Protection Act of 1999 (AIPA).

| ion or information unless it displays a va | nd OMB comor namber. |
|--|----------------------|
| Application Number                         | 09/904,956           |
| Filing Date                                | July 14, 2001        |
| First Named Inventor                       | Ashkenazi, et al.    |
| Group Art Unit                             | 1646                 |
| Examiner Name                              | Chernyshev, Olga     |
| Attorney Docket Number                     | 39780-1618P2C29      |

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application. NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. s 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 20000), which established RCE practice.

| 1.   | Sub   | missic   | n required ur  | nder 37 C.F.R. §1.114.  |  |                                     |                |             |               |  |  |
|--|---|--|--|---|--|-------------------------------------|----------------|-------------|---------------|--|--|
|  | a. Previously submitted   |  |  |   |  |                                     |                |             |               |  |  |
|  |   | i. Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on (Any unentered amendment(s) referred to above will be entered) |  |   |  |                                     |                |             |               |  |  |
|  |   | ii.  | ☐ Conside  | Consider the arguments in the Appeal Brief or Reply Brief previously filed on |  |                                     |                |             |               |  |  |
|  |   | iii.   | ☐ Other  | Other   |  |                                     |                |             |               |  |  |
|  | b.  | $\boxtimes$  | Enclosed   |   | ţ  | 11/01/20                            | 81641 09904956 |             |               |  |  |
|  |   | i.   |  | ment/Reply  | ĺ,                                       | 01 FC:18                            |                |             |               |  |  |
|  |   | ii.  | i. 🛛 Affidavit(s)/Declaration(s) — of Sherman Fong, Ph.D.                    |   |  |                                     |                |             |               |  |  |
|  |   | iii.   | ☐ Informa  | ation Disclosure Statement (IDS   | S)                                       | 11 /A1 /DAAL TEPRIAMA AAAAAAA AAAAA |                |             |               |  |  |
|  |   | iv.  | ☐ Other  | ·   | l  | 02 FC:125                           |                | 30.00 DA    | 1641 09904956 |  |  |
| 2.   | Misc  | Miscellaneous  |  |   |  |                                     |                |             |               |  |  |
|  | a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F. R. § 1.17(i) required)  b. Other |  |  |   |  |                                     |                |             |               |  |  |
|  |   |  |  |   |  |                                     |                |             |               |  |  |
| 3.   | Fees  | Fees The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.  |  |   |  |                                     |                |             |               |  |  |
|  | a.   The Director is hereby authorized to charge the following fees, or credit any overpayments to Deposit Account No. 08-1641 (Docket No. 39780-1618P2C29)   |  |  |   |  |                                     |                |             |               |  |  |
|  |   | i.   |  |   |  |                                     |                |             |               |  |  |
|  |   | ii.  | Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) — 3-month EOT = \$980.00 |   |  |                                     |                |             |               |  |  |
|  |   | iii.   | Other  |   |  |                                     |                |             |               |  |  |
|  | b.  |  | Check in the amount of \$ enclosed   |   |  |                                     |                |             |               |  |  |
|  | c.  | Payment by credit card (Form PTO-2038 enclosed)  |  |   |  |                                     |                |             |               |  |  |
|  |   |  |  |   |  |                                     |                |             |               |  |  |
|  |   |  | SI   | GNATURE OF APPLICANT, A   | TTORN                                    | EY, OR AG                           | ENT REQU       | IIRED       |               |  |  |
| Name (Print/Type) DAPHNE REDDY   |   |  |  |   | Registration No. (Attorney/Agent) 53,507 |                                     |                | 53,507      |               |  |  |
| Signature Raphne Reddy   |   |  |  |   | Date                                     | October 27, 2004                    |                |             |               |  |  |
|  |   |  |  |   |  |                                     |                |             |               |  |  |
|  |   |  |  | CERTIFICATE OF MAIL   |  |                                     |                |             | A.1.1         |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post office to Addresses" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date: October 27, 2004 |   |  |  |   |  |                                     |                |             |               |  |  |
| Name (Print/Type) Cheryl Rogers  |   |  |  |   |  | Date: October 27, 2004              |                |             |               |  |  |
| Sign   | ature   |  |  | Carrix roger  | Expre                                    | ess Mail Lab                        | el: EL 99      | 3 689 576 U | S             |  |  |
| Burden Hour Statement. This form is actimated to take 0.2 hours to complete. Time will year depending upon the needs of the  |   |  |  |   |  |                                     |                |             |               |  |  |



Burden Hour Statement: This form is estimated to take 0.2 nours to complete. The win vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Mail Stop \_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

olicant:

Ashkenazi et al.

Docket No.:

39780-1618P2C29

Serial No .:

09/904,956

Group Art Unit:

1646

Filing Date:

July 14, 2001

Examiner:

Chernyshev, Olga

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND

NUCLEIC ACIDS ENCODING THE SAME

Express Mail Label No.: EL 993 689 576 US

Mailing Date: October 27, 2004

## PRELIMINARY AMENDMENT

MS: RCE

**Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Preliminary amendment accompanies a Request for Continued Examination under 37 CFR § 1.114 for the above identified application and responds to the Final Office action mailed April 27, 2004 in this case. This RCE filing and Preliminary amendment are being timely filed concurrent with a Petition for Extension of Time for three months to maintain co-pendency of this application. Also, attached herewith is a Declaration by Sherman Fong, Ph.D. with exhibits A-I and a copy of his curriculum vitae. Entry of this declaration and amendments are respectfully requested.

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.